PoDI S&O Plan

Procedure:

1. For each PoDI, the FHWA engineer/specialist reviews the items on the check sheet and checks areas to focus oversight that mitigate the identified risks from project selection. Areas selected for FHWA oversight do not include required project actions prescribed by law. States are not assuming additional project responsibilities simply because FHWA is using risk to identify which areas to focus our resources.

2. The rationale for the selection is provided in the Reason column. If the project is also identified to receive a CAP inspection, the CAP inspection should also be noted on the check sheet.

3. The proposed plan is submitted to the employee's supervisor/team leader for concurrence.

4. The PoDI S&O Plan should be retained in the project files. As the identified oversight items are completed, the resulting documentation should be attached. Ideally, the information attained can validate the original assumptions and be used to inform the next cycle of risk assessments and unit plans.

5. The plan does not restrict FHWA staff from taking action on other items, as determined appropriate.

Federal Project Number: __NH000-0016-01(104)_____

State Number: __311410-_____

Phase/activities	Selected for Involvement	Notes:
Preconstruction (Design)		
Design Standards (i.e. non-STA)		
Design Exceptions		Programmatic for Interstate projects per Stewardship and Oversight Agreement Page 7
Bridge/Structures design	X	
Consultant Selection		
Consultant Management and Use of Consultants		
Context Sensitive Solutions (CSS)		
Environmental Mitigation and Commitments	X	
ITS Project development -Standards & Systems Engineering		

Phase/activities	Selected for Involvement	Notes:
Interstate Modification Requests/Interstate Justification Requests		Programmatic per Stewardship and Oversight Agreement Page 5
Pavement design	X	Programmatic per Stewardship and Oversight Agreement Page 37
Reviews:		
• Constructability		
• Design		
○ Concept		
○ PFPR		
○ FFPR	X	
Road Safety Audits		
Roadside Hardware		
Traffic Analysis		
Transportation Management Plan (TMP)		
Value Engineering (VE)		
Financial plans		
ROW encroachments		
Experimental Features		
Preconstruction (PS&E Approval, Advertising, & Award)		
Plans, Specifications, and Estimates (PS&E)	X	
Plans	X	
Specifications		
Standard Specifications		
Supplemental Specifications		
Special Provisions	X	
Contract Provisions (FHWA1273, Buy America(n), Changed conditions clauses, Incentive/Disincentives, Partnering, Warranties)		
Engineers Estimate	X	
Project Authorization and Project Agreements		
ROW Clearances	X	
Pre-qualification		
Authorize advertising for bids, addenda	X	
Conditional approvals		
Pre-bid meetings		
Bid analysis and concurrence in		

Phase/activities	Selected for Involvement	Notes:
award		
Innovative contracting		
State contracting procedures		
Utility agreements		
Railroad agreements		
Project Construction & Contract Administration		
Preconstruction Meeting / Partnering workshop		
Inspections	X	
• Initial	X	
• Intermediate (frequency: every month)	X	
• In-Depth		
• Final	X	
• Special Reports		
• Process Reviews		Review as part of a process review may be determined independent of this process.
• Work Zone Review		
Project Meetings		
Materials testing / certifications		
Change orders		
• All Change Orders		
• Change Orders $100,000 or greater	X	
Contractor claims	X	
Pay Estimate Reviews		
Time extensions	X	
Value Engineering change proposals		
Liquidated damages		
DBE		
OJT		
Final Acceptance	X	
Other:		
Effective Date June 1, 2016		

Proposed _____ **Date** 4/12/2014

FHWA Engineer/Specialist

Approved _____ Date 5/19/16
FHWA Supervisor/Team Leader

Concurred Margaret B Pickle Date 6/10/16
GDOT Chief Engineer